



P.O. Box 650 • 57 Parker Rd. • Barre, VT 05641 • 800-548-4301 • www.neee.com

HYDROELECTRIC SUPPLEMENTAL APPLICATION

COMPLETE IN ADDITION TO ACORD APPLICATIONS.

ATTACH ADDITIONAL SHEETS AS NECESSARY.

ANSWER ALL QUESTIONS. If not applicable, indicate N/A.

GENERAL INFORMATION

1)

Named Insured:			
Project Name:			
Brokerage/Broker:		Broker Email:	
Agency/Agent:		Agent Email:	
Renewal?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
Policy Number:			
Effective Date:			
Website:			

2)

Current Carrier Information:

Carrier:			
Limit of Insurance:			
Deductible:			
Premium:			
Offering renewal?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
Claims made?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Retroactive date:

Please attach copies of the following:

- Currently valued five year loss runs, including claim detail for all losses open or exceeding \$15,000
- A description of operations, brochures, or marketing materials if a website is not available

3)

Mailing Address: _____

City: _____ State: _____ Zip Code: _____

4)

Audit/Inspection contact: _____

a. Phone number: _____

b. Email: _____

5)

If any subsidiary or operations are to be specifically excluded from coverage, please indicate: _____

a. Are these entities or services covered elsewhere? Yes No

6)

If you have operated under a different business name in the last ten years, please list: _____

- 7) Please indicate your operations:
- Owner/Developer Owner/Operator
 General Contractor Contract Operator
 Other: _____
- 8) How many years have you been in operation? _____
- a. If you are new in business, what prior experience do you have? Please include prior work and years of experience in those positions: _____

SUBCONTRACTORS

- 9) If you are hiring subcontractors, please clarify the following:
- a. Do you usually hire the same subcontractors? Yes No
- b. Are subcontractors always insured? Yes No
- + If yes, what General Liability limits do you require subs to carry? _____
- + Do you confirm if these subs carry Workers Compensation insurance? Yes No
- c. Do you obtain certificates of insurance from all subcontractors? Yes No
- d. Are you named as an Additional Insured on all subcontractors' policies? Yes No
- e. Do you have a written contract with your subcontractors? Yes No
- f. Do all contracts contain a Hold Harmless clause in your favor? Yes No
- g. Do you use any leased employees? Yes No
- + If yes, are you responsible for providing Worker's Comp for these employees? Yes No
- h. Do you carry Worker's Compensation insurance? Yes No

OPERATIONS

****Complete the OPERATIONS, UPSTREAM/DOWNSTREAM INFORMATION, and FACILITY INFORMATION sections for each dam individually****

- 10) Dam name and address: _____
 City: _____ State: _____ Zip Code: _____
- 11) Is the facility: Run of River Diversion Impoundment Pump Storage
- 12) Project is: Urban Rural Remote
- 13) Annual power sales: \$ _____ Annual production: _____ KWH Rated capacity: _____ MW
- 14) Is the project operated/maintained by: You OR Others?
- 15) Is the project: Manned OR Unmanned?
- a. If unmanned, frequency of visits is: Daily Weekly Monthly
- b. Is there automatic notification to supervisor in the event of an emergency? Yes No
- 16) Does the project include a Dam or Diversion? Yes No
- a. If yes, is it Owned OR Leased?
 + If leased, who is the owner? _____
- b. Type of dam: concrete gravity timber crib embankment
 arch buttress Other: _____

- c. Size:
 + Length: _____
 + Height: _____
 + Width: _____
- d. Year built: _____
- e. Reservoir capacity: _____ acre-feet
- f. Are flashboards used? Yes No
 + If yes, are they mechanical or wooden?

- 17) Check all applicable protective devices for this project:
 Over speed trip Reverse current Lightning protection Over current trip
 High vibration Loss of excitation Ground fault trip Low lube oil
 Wicket gate protection Other: _____

UPSTREAM/DOWNSTREAM INFORMATION

- 18) What is the FERC hazard classification for the dam?
 N/A (not rated) Low Significant High
- 19) Has a FERC inspection been performed recently? Yes No
 (Please provide a copy of the inspection report if available.)
- 20) Are there any dams, reservoirs, large bodies of water, or other hydroelectric facilities upstream that can affect your operation? If yes, please describe: Yes No

- 21) What structures (residential areas, mobile homes, or commercial areas) are located downstream from the hydroelectric plant, and how far away are they located? _____

- 22) Are the banks of the river tall enough downstream to contain all of the water in case of a total water containment failure? Yes No
- 23) Do you have a written emergency action plan? Yes No

FACILITY INFORMATION

- 24) Powerhouse:
 a. Year Built: _____
 b. Has the powerhouse been refurbished? Yes No
 + If yes, when? _____
- 25) Penstock:
 a. Is the Penstock above ground OR underground?
 b. Type: Steel Concrete Other _____

26) Please complete the following table for your turbine(s):

#	Type				Year Built	Year Rebuilt
1	<input type="checkbox"/> Pelton	<input type="checkbox"/> Kaplan	<input type="checkbox"/> Francis	<input type="checkbox"/> Bulb		
2	<input type="checkbox"/> Pelton	<input type="checkbox"/> Kaplan	<input type="checkbox"/> Francis	<input type="checkbox"/> Bulb		
3	<input type="checkbox"/> Pelton	<input type="checkbox"/> Kaplan	<input type="checkbox"/> Francis	<input type="checkbox"/> Bulb		
4	<input type="checkbox"/> Pelton	<input type="checkbox"/> Kaplan	<input type="checkbox"/> Francis	<input type="checkbox"/> Bulb		

27) Please complete the following table for your generator(s):

#	Type	RPMs	Size	Year Built	Year Rebuilt	Rebuild Contractor
1	<input type="checkbox"/> Synchronous <input type="checkbox"/> Induction					
2	<input type="checkbox"/> Synchronous <input type="checkbox"/> Induction					
3	<input type="checkbox"/> Synchronous <input type="checkbox"/> Induction					
4	<input type="checkbox"/> Synchronous <input type="checkbox"/> Induction					

28) Please complete the following table for your transformers:

#	Size
1	
2	
3	
4	

a. Do you own the transmission lines? Yes No

b. If yes, how long are the lines? _____

29) Is the site secured with fences, locked gates or other physical barriers? Yes No

30) Are there hazard warning signs at the premises? Yes No

31) Is the public allowed access to the premises? Yes No

32) Are there any recreational facilities on or adjacent to your premises? Yes No

LOSS HISTORY

33) During the past five years, has any insurer ever canceled or non-renewed similar insurance to any applicant or has your insurance been canceled for nonpayment of premium by any insurance or finance company. **If yes, please attach an explanation.** Yes No

34) Has any lawsuit ever been filed, or any claim otherwise been made against your company or any partnership or joint venture of which you have been a member or your company's predecessors in business, or against any person, company or entities on whose behalf your company has performed operations or assumed liability? **If yes, please attach an explanation including the name(s) of the person, company or entity and the name(s) and location(s) of the projects where such operations were performed.** Yes No

35) Is your company aware of any occurrences, facts, circumstances, incidents, situations, damages accidents, (including but not limited to allegations of faulty or defective workmanship, product failure, construction dispute, property damage or construction work injury) at a location or project where your company has performed operations that a reasonably prudent person might expect to give rise to a claim or lawsuit whether valid or not which might directly or indirectly involve the company? **If yes, please attach an explanation including the name(s) and location(s) of the projects where such operations were performed.**

Yes No

FRAUD WARNING

NOTICE TO ALABAMA, ALASKA, ARIZONA, ARKANSAS, CALIFORNIA, CONNECTICUT, DELAWARE, GEORGIA, IDAHO, ILLINOIS, INDIANA, IOWA, KANSAS, MARYLAND, MASSACHUSETTS, MICHIGAN, MINNESOTA, MISSISSIPPI, MISSOURI, MONTANA, NEBRASKA, NEVADA, NEW HAMPSHIRE, NORTH CAROLINA, NORTH DAKOTA, OREGON, RHODE ISLAND, SOUTH CAROLINA, SOUTH DAKOTA, TEXAS, UTAH, VERMONT, WASHINGTON, WEST VIRGINIA, WISCONSIN, AND WYOMING APPLICANTS: In some states, any person who knowingly, and with intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing any materially false information, or, for the purpose of misleading, conceals information concerning any fact material thereto, may commit a fraudulent insurance act which is a crime in many states.

NOTICE TO COLORADO APPLICANTS: It is unlawful to knowingly provide false, incomplete or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete or misleading facts or information to a policy holder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claiming with regard to a settlement or award payable for insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: WARNING: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

NOTICE TO FLORIDA APPLICANTS: Any person who knowingly and with intent to injure, defraud or deceive any insurance company files a statement of claim containing any false, incomplete or misleading information is guilty of a felony of the third degree.

NOTICE TO HAWAII APPLICANTS: For your protection, Hawaii law requires you to be informed that presenting a fraudulent claim for payment of a loss or benefit is a crime punishable by fines or imprisonment, or both.

NOTICE TO KENTUCKY APPLICANTS: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

NOTICE TO LOUISIANA APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

NOTICE TO MAINE APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines, or denial of insurance benefits.

NOTICE TO NEW JERSEY APPLICANTS: Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

NOTICE TO NEW MEXICO APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties.

NOTICE TO NEW YORK APPLICANTS: Any person who knowingly and with intent to defraud an insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals, for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and shall also be subject to a civil penalty not to exceed \$5,000 and the stated value of the claim for each such violation.

NOTICE TO OHIO APPLICANTS: Any person who, with intent to defraud or knowing that he/she is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

NOTICE TO OKLAHOMA APPLICANTS: WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes a any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

NOTICE TO PENNSYLVANIA APPLICANTS: Any person who knowingly and with intent to defraud any insurance company, or other person, files an application for insurance or statement of a claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects the person to criminal and civil penalties.

NOTICE TO TENNESSEE APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

NOTICE TO VIRGINIA APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

The Applicant acknowledges that the answers provided herein are based on a reasonable inquiry and/or investigation. The Applicant warrants that the above statements and particulars together with any attached or appended documents are true and complete and do not misrepresent, misstate or omit any material facts.

The Applicant agrees to notify us of any material changes in the answers to the questions on this questionnaire which may arise prior to the effective date of any policy issued pursuant to this questionnaire and the Applicant understands that any outstanding quotations may be modified or withdrawn based upon such changes at our sole discretion.

Completion of this form does not bind coverage. Applicant's acceptance of the company's quotation is required prior to binding coverage and policy issuance.

All written statements and materials furnished to the company in conjunction with this application are hereby incorporated by reference into this application and made a part of this application.

Applicant: _____ Title: _____

FEIN #: _____

Applicant's Signature: _____ Date: _____

Agent/Broker Name: _____