

New England Excess Exchange, Ltd.

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CONTRACT DIVISION - CONTRACTORS - SUPPLEMENTAL APPLICATION

(Complete in addition to Acord Application)

I. APPLICANT

Name _____

Address _____

II. OPERATIONS (Check or complete all that apply)

Describe Operations _____

Years in Business _____ Years Experience _____

States where work is anticipated during the policy term: _____

	% Operations		% Operations	
Artisan/Subcontractor	_____ %	Developer	_____ %	<input type="checkbox"/> E&O Coverage in place
Construction Project Manager*	_____ %	General Contractor	_____ %	
Consultant*	_____ %	Owner/Builder	_____ %	
			Total of all Operations	_____ % (must = 100%)

Type of Construction	% New Construction	% Remodeling	Total % of all Operations
Commercial/Industrial	_____ %	_____ %	_____ %
Residential	_____ %	_____ %	_____ %
Apartment	_____ %	_____ %	_____ %
Condominium/Townhome	_____ %	_____ %	_____ %
			Total _____ % (must = 100%)

Real Estate Development Property Owned # Acres _____ Currently under development Yes No
 Zoned: Habitational Retail Commercial/Industrial Other _____

Vacant Land Owned # Acres _____
 Zoned: Habitational Retail Commercial/Industrial Other _____

*Project Managers and/or Consultants (classify as General Contractor if either is "Yes")

Yes No Applicant is engaged in actual construction

Yes No Applicant hires or supervises subcontractors

Describe projects:

III. LAST THREE LARGEST JOBS

Start Date	End Date	Value	Cost	Description
		\$	\$	
		\$	\$	
		\$	\$	

IV. LICENSING

Number _____ Type _____ State _____ If licensed in more than one state, attach list.

Year Issued _____ License Active? Yes No

V. TRADES (Complete all that apply)

Trade			Payroll	Sub Costs	Trade			Payroll	Sub Costs
AC/Heating/Combined AC/Heating					Painting	Exterior 3 stories or less			
Alarms/Alarm Systems						Exterior more than 3 stories			
Appliances & Accessories						Interior			
Carpentry	Interior					Shop only			
	NOC				Paving	Driveway-Parking-Sidewalk			
	Shop Only					Street or Road			
Carpet/Rug/Upholstery Cleaning					Plastering or Stucco Work				
Communication Equipment					Plumbing	Commercial/Industrial			
Computer Service or Repair						Residential			
Contractors Equip - Rented Others - w/o Operator					Prefabricated Building Erection				
Debris Removal - Construction Site					Roofing	Commercial			
Door/Window Assembled Millwork - Metal						Residential			
Draftsmen					Septic Tank	Cleaning			
Drywall or Wallboard Installation						Install-Service-Repair			
Electrical Apparatus - Install-Service-Repair					Sheet Metal Work - Outside				
Electrical Work - within Buildings					Siding Installation				
Engineers or Architects - Consulting					Sign Erection - Install-Repair-Painting-Lettering				
Excavation					Snow & Ice Removal				
Executive Supervisor - Project Manager					Solar Energy Contractors				
Fence Erection					Street Cleaning				
Fire Extinguishers - Servicing-Refilling-Testing					Surveyors-Land - Not Engaged in Construction				
Fire Suppression Systems - Install-Service-Repair					Swimming Pools	Install above ground			
Floor Covering Install - Not Ceramic/Tile/Stone						Install below ground			
Furniture/Fixtures - Install-Service-Repair						Service-Repair			
Garbage/Ash/Refuse Collecting					Telephone/Telegraph/Cable TV Line Const.				
Grading of Land					Television/Radio Receiving Set - Install-Repair				
Greenhouse Erection					Tent/Canvas Goods - Erection-Removal-Repair				
Guniting or Shot-Crete					Tile/Stone/Marble/Mosaic/Terrazzo - Interior				
Handyperson					Tree Pruning/Dusting/Spraying/Trimming				
House Furnishings Installation					Upholstering	Shop Only			
						On Customers Premises			
Insulation Work					Water Softening Equip. - Install-Service-Repair				
					Water-proofing	NOC			
						Pressure Apparatus			
						Trowel - Exterior			
						Trowel-Interior-Insulation work			
Irrigation or Drainage System Const.					Window Cleaning				
Janitorial Service					Wrecking - Buildings or Structures				
Landscape/Gardening/Lawn Care					Other				
Machinery/Equipment - Install-Service-Repair									
Masonry									
Metal Erection/Installation									
Outside Surfaces Buildings & Other Exterior Surfaces			Cleaning						
			Renovating						
Comments:									

VI. CONTRACTS

Written contracts are always used with customers prior to starting work Yes No

VII. GROSS SALES

Anticipated \$ _____ Second Year Prior \$ _____
First Year Prior \$ _____ Third Year Prior \$ _____

VIII. SUBCONTRACTORS / EMPLOYEES

Total Number of Employees _____ Total Number of Leased Employees _____
Is there any casual labor? Yes No If "Yes", are the same individuals generally used? Yes No
Total Percentage of subcontracted work performed by: Insured Subcontractors _____ %
Uninsured Subcontractors _____ %
Total _____ % (must = 100%)

A.I.A. standards followed when establishing contracts with subcontractors Yes No
Additional Insured - Status granted to you on the subcontractor's policy Yes No
Hold Harmless and Indemnification Agreements Yes No
Same set(s) of subcontractors usually used Yes No
Limits of Liability - Subcontractors limits equal or above your own Yes No
Workers Compensation (if applicable) carried by subcontractor Yes No
Certificates of Insurance obtained prior to subcontracted jobs Yes No
Copies of contracts and certificates are retained for _____ years

IX. EXPOSURES

A. General Exposures (Check or complete all that apply)

Above Grade Work Maximum Height you will work _____ Feet
Below Grade Work Maximum Depth you will work _____ Feet
Grade/Slope Work Maximum % of Grade/Slope _____
Residential Work Custom Tract # of Units any one Project/Development _____
Maximum # Stories _____ Maximum Square Footage _____
Maximum Dwelling Value \$ _____
 Architectural Plans or Blueprints are drawn up by the applicant
 Home Warranty Program provided to purchasers (If "checked", attach copy of the program.)
 Independent third-party inspections performed on all custom homes prior to release to purchaser
 Model Home(s) operated by applicant Number of Homes _____
Commercial Work Maximum Square Footage _____

B. Jobsite Exposures (Check or complete all that apply)

Scaffolding used Yes No
 Non-employees permitted to use applicant's owned or rented scaffolding
Site Security
 Fencing Night Lighting Watchman Other _____

C. Do you perform or subcontract any of the following types of work? (Check or complete all that apply)

- | | |
|--|---|
| <input type="checkbox"/> Abatement or Environmental Work (asbestos, hazardous materials, lead, PCAB, mercury, mold etc.) | <input type="checkbox"/> Fire, Water and/or Disaster Restoration Work |
| <input type="checkbox"/> Alarm Monitoring | <input type="checkbox"/> Floor Waxing _____% of annual receipts |
| <input type="checkbox"/> Blasting/Use of Explosives | <input type="checkbox"/> LPG Work _____% of annual receipts |
| <input type="checkbox"/> Backflow/Building Sprinkler | <input type="checkbox"/> Mining - below surface level |
| <input type="checkbox"/> Caisson or Cofferdam | <input type="checkbox"/> Moving of Buildings or Structures |
| <input type="checkbox"/> Conversion of Structures to Condominiums | <input type="checkbox"/> Overhead Rigging of Trusses or Lighting |
| <input type="checkbox"/> Crane Operations Performed by Direct Employees | <input type="checkbox"/> Pile Driving |
| <input type="checkbox"/> Drilling of any sort | <input type="checkbox"/> Pilings or Stilt Work |
| <input type="checkbox"/> Earthquake/Seismic Retrofitting | <input type="checkbox"/> Shaft Sinking |
| <input type="checkbox"/> EIFS | <input type="checkbox"/> Sewer Mains or Connections Construction |
| <input type="checkbox"/> Equipment Rental to Third Parties | <input type="checkbox"/> Tunneling |
| <input type="checkbox"/> Fenestration Services | <input type="checkbox"/> Welding or Cutting - Welders Supplement required |

Details:

D. Do you perform or subcontract work for any of the below location/customer types? (Check or complete all that apply)

- Airports/Spaceports including hangar facilities located behind TSA controlled areas
- Assisted Living, Group Homes, Halfway Houses, Hospital, Nursing Homes, Rehab Facilities, Safe Houses, Social Services, Transitional Housing

Elaborate details of such work:

- | | |
|--|---|
| <input type="checkbox"/> Banks or Financial Institutions | <input type="checkbox"/> Public Roadways |
| <input type="checkbox"/> Alarm Installation | <input type="checkbox"/> Public Utilities |
| <input type="checkbox"/> Bridges, Piers, Pilings, Stills or Wharves | <input type="checkbox"/> Railroad Facilities, including Street Car Facilities |
| <input type="checkbox"/> Chemical Plants and/or Storage | <input type="checkbox"/> Tribal Lands |
| <input type="checkbox"/> Correctional or Detention | <input type="checkbox"/> Other _____ |
| <input type="checkbox"/> Governmental or Military | |
| <input type="checkbox"/> Marine, Ocean Marine, Offshore (Oil Rigs, Cruise Ships) | |

Comments:

E. Air Conditioning, Heating, Combined AC/Heating Refrigeration Systems (Check all that apply)

- | | |
|---|---|
| <input type="checkbox"/> Boiler Installation, Service or Repair | <input type="checkbox"/> GeoThermal Heating/Cooling Systems Installation, Service or Repair |
| <input type="checkbox"/> Wood or Pellet Stove Installation, Service or Repair | |

F. Carpentry - Drywall - Stucco - Woodworking (Check or complete all that apply)

- | | |
|---|--|
| <input type="checkbox"/> Chinese Drywall | <input type="checkbox"/> Underpinning |
| <input type="checkbox"/> Shoring Up of Buildings/Structures | <input type="checkbox"/> Wheelchair Ramp related work _____ % of annual receipts |
| <input type="checkbox"/> Structural Renovations | <input type="checkbox"/> Wood or Pellet Stove Installation |
| | <input type="checkbox"/> Wood Truss Fabrication/Manufacturing |

G. Concrete - Paving - Gunite/Shot-Crete - Masonry - Waterproofing (Check all that apply)

- Concrete Pumpers: Exceeding 36 feet
- Trailer-Mounted Systems that deliver concrete via boom assemblies
- Skid Mounted and Rail Mounted Concrete Pumping
- Flood Vents
- Foundation Repair/Renovation on Existing Structures Mudjacking (AKA slab jacking, concrete lifting, concrete raising, and slab leveling)
- Load Bearing or Structural Concrete Restoration Work Underpinning

H. Metal Erection (Check all that apply)

- Ceiling or Wall Installation Outside Stairs or Railings Scaffolding
- Decorative or Artistic Playground Equipment Shop Only
- Fire Escapes Road/Highway/Freeway Guardrails Structural
- Non-Structural

I. Roofing (Check or complete all that apply)

- Member of National Roofing Contractors Association (NRCA)

	New	Repair/Remodel/Re-Roof	Additions
Receipts	\$ _____	\$ _____	\$ _____
	Roofing Type	% Total Work	
<input type="checkbox"/>	Asphalt Shingles	_____ %	
<input type="checkbox"/>	Built Up Roof Systems -	_____ %	
<input type="checkbox"/>	<input type="checkbox"/> Tar and Gravel	_____ %	Application: <input type="checkbox"/> Hot <input type="checkbox"/> Cold
<input type="checkbox"/>	<input type="checkbox"/> Polymer-Modified Bitumen Sheet Membrane	_____ %	Application: <input type="checkbox"/> Hot <input type="checkbox"/> Cold
<input type="checkbox"/>	Clay or Concrete Tile	_____ %	
<input type="checkbox"/>	Green Roof Systems (i.e. Living Plant/Landscape based)	_____ %	
<input type="checkbox"/>	Metal	_____ %	
<input type="checkbox"/>	Slate	_____ %	
<input type="checkbox"/>	Spray Polyurethane - Foam Based	_____ %	
<input type="checkbox"/>	Thermo-Plastic Membranes	_____ %	Application: <input type="checkbox"/> Hot <input type="checkbox"/> Cold
<input type="checkbox"/>	Wood Shakes/Shingles	_____ %	<input type="checkbox"/> Treated <input type="checkbox"/> Untreated
	Total	_____ %	(must = 100%)

Maximum Slope _____ Degrees

Jobsite

- Barriers used to keep public from entering jobsite or equipment area Yes No
- Extinguishers (ABC type - 15 lbs. or larger) are present at all jobsites Yes No
- Fire watch maintained at jobsite for at least 30 minutes after equipment shut off or removal Yes No
- Inspection of all areas where heat work has been performed are completed prior to leaving jobsite Yes No
- Kettles/heat process equipment during use are at ground level, away from building Yes No
- Roof openings left overnight are protected from elements Yes No

X. DISCONTINUED OPERATIONS / DISCONTINUED NAMED INSUREDS (Check or complete all that apply)

- Acted in the capacity of a General Contractor and/or Construction Project Manager on new-ground-up residential construction (defined as apartments, condos, co-ops, homes or townhomes) in past 10 years.
- Discontinued Operations for this application's Named Insured(s) in the past 10 years.

Provide details below:

- Operated under a different 'Named Insured(s)' in the past 10 years. Indicate the Named Insured(s) and corresponding operations for the Named Insured(s) below:

XI. COVERAGE OPTIONS - LIABILITY All options may not be available for your operations

- Employee Benefit Liability (Supplemental Application C-APP012 required)
- Employment Practices Liability Insurance (Not available in AR, LA, MT, NM, NY, VT)
- Cyber Suite
- Locksmiths - Property Damage Extension
- Overspray Coverage Limitation
- Professional Extension - Contractors Professional Liability Coverage Limitation
- Stop Gap Liability

FRAUD STATEMENT

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, may be committing a fraudulent insurance act, and may be subject to a civil penalty or fine.

THIS SUPPLEMENTAL APPLICATION IS INCORPORATED BY REFERENCE INTO THE PRIMARY APPLICATION

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APPLICANT'S SIGNATURE

DATE